

# Human-In-The-Loop for Machine Learning

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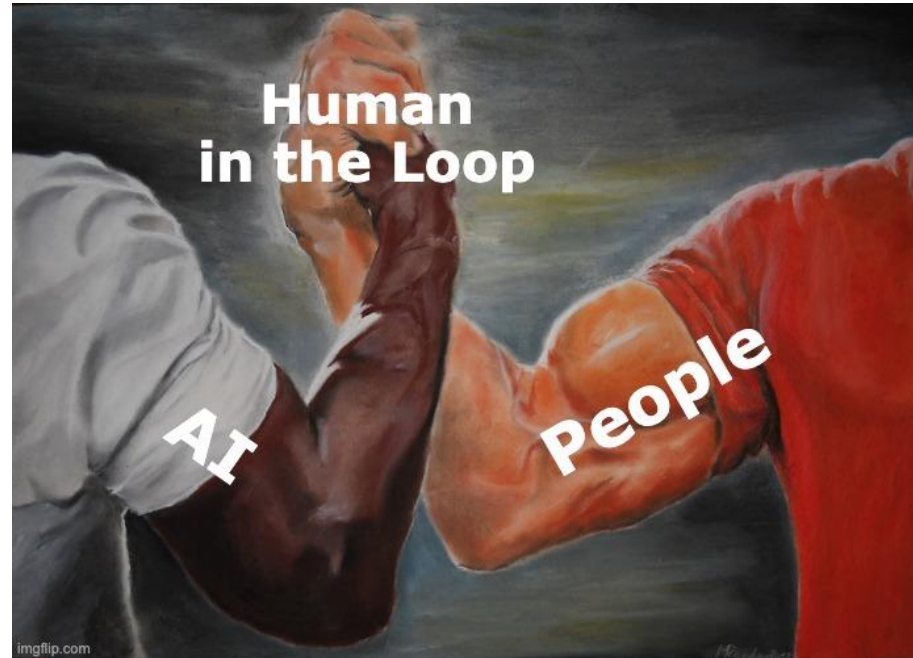
A decorative pattern of blue dots of varying shades, arranged in a grid that recedes into the distance, creating a perspective effect. The dots are more densely packed in the foreground and become sparser as they recede towards the horizon.

# What I will talk about

- What is “Human-In-The-Loop”?
- Motivation
- Human interaction
  - Data processing
  - Model training and inference
- Pros and cons
- Future directions & open challenges

# What is “Human-In-The-Loop”

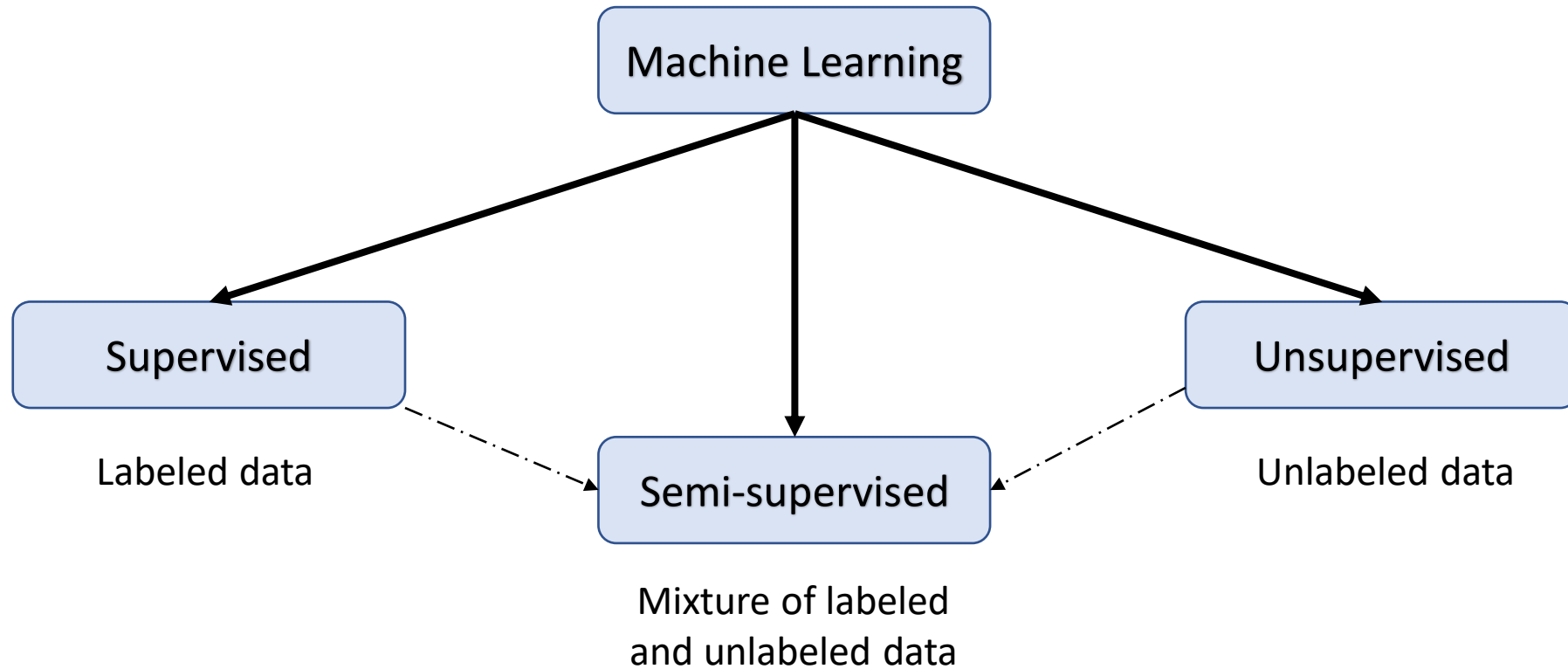
Good at making optimal decisions **when there is large and high-quality data**



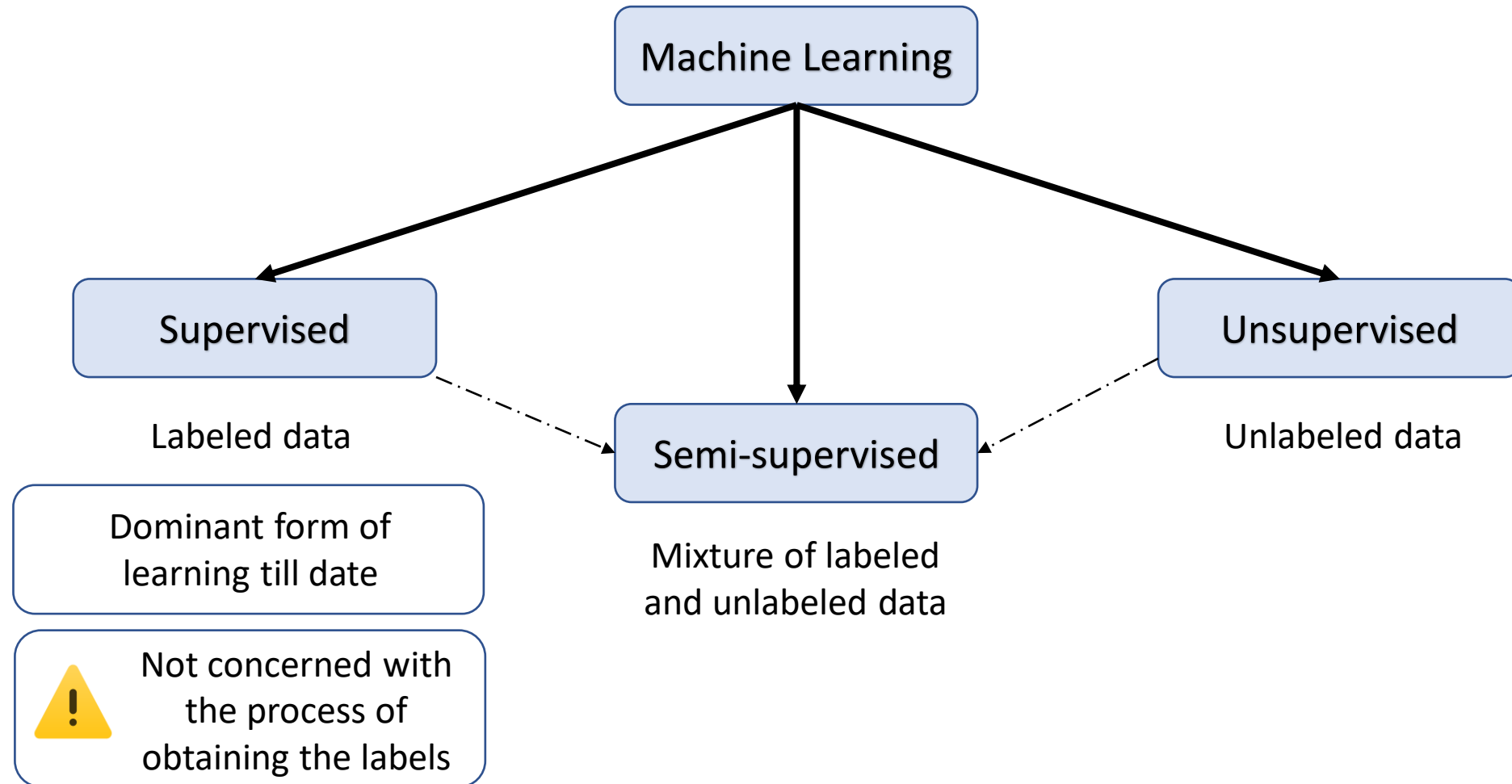
Good at recognizing patterns **within small and low-quality data**

- Human-In-The-Loop (**HITL**) is a ML method that combines **human** and **AI** to build effective ML models.

# Background and motivation



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- ML models perform well with **sufficient amount of training data with labels**
  - Available datasets becoming outdated in size and density
- Need for new data annotation
  - Data growing constantly
  - Laborious

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Query for human feedback on key samples

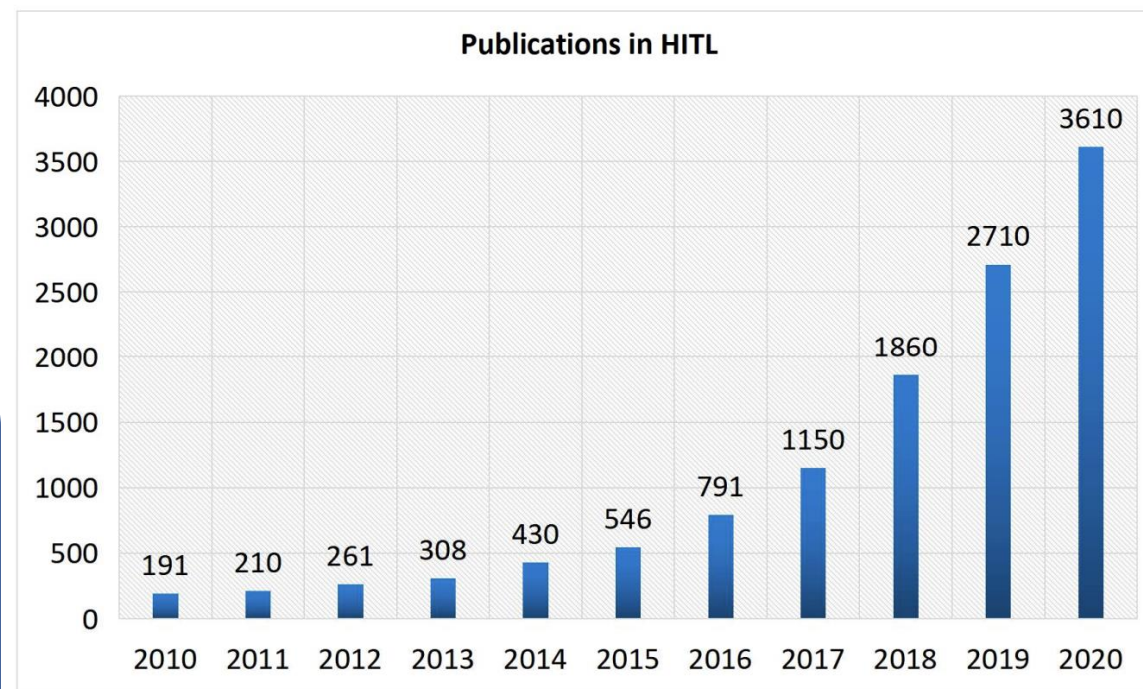
If the machine can learn human knowledge, it will help deal with the lack of training data.

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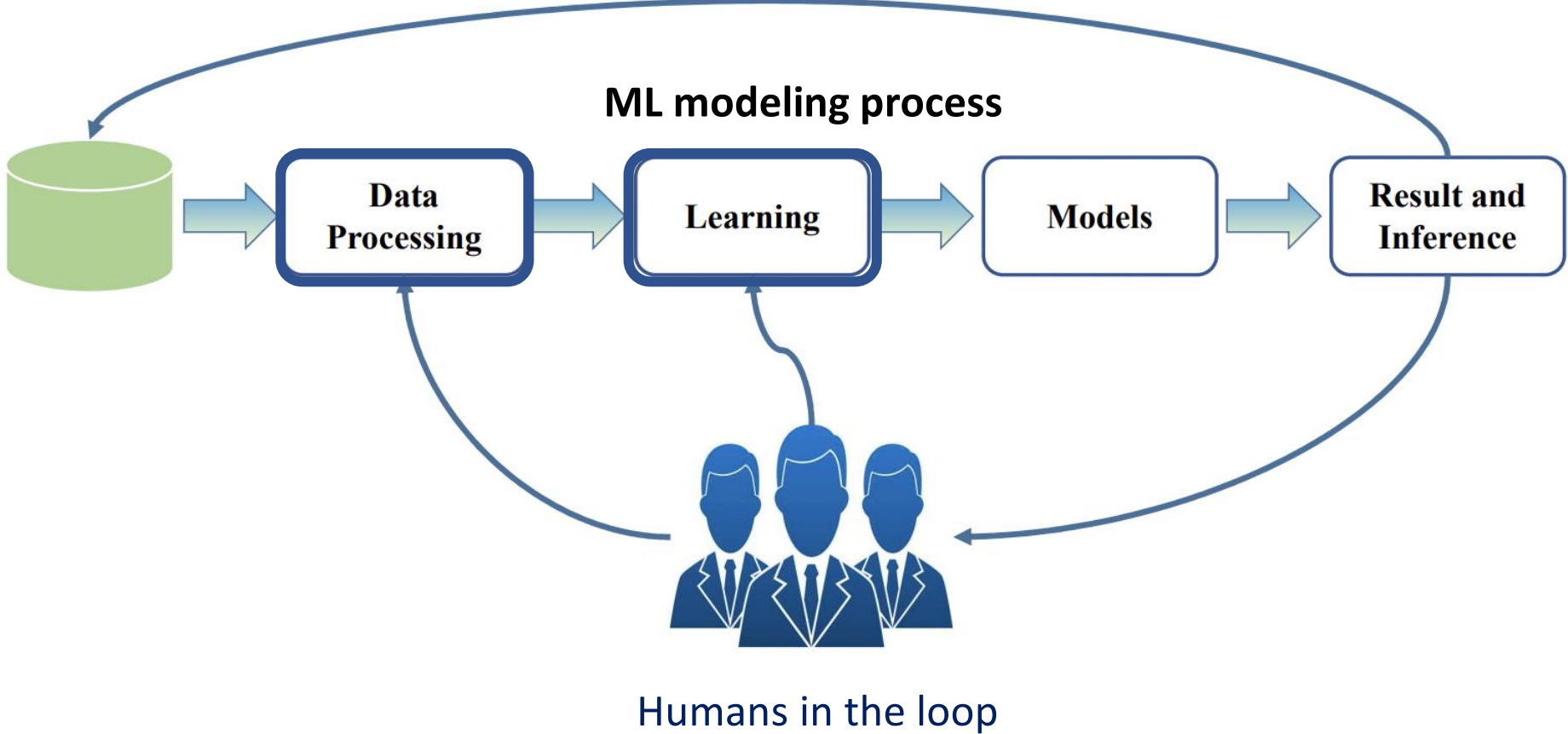
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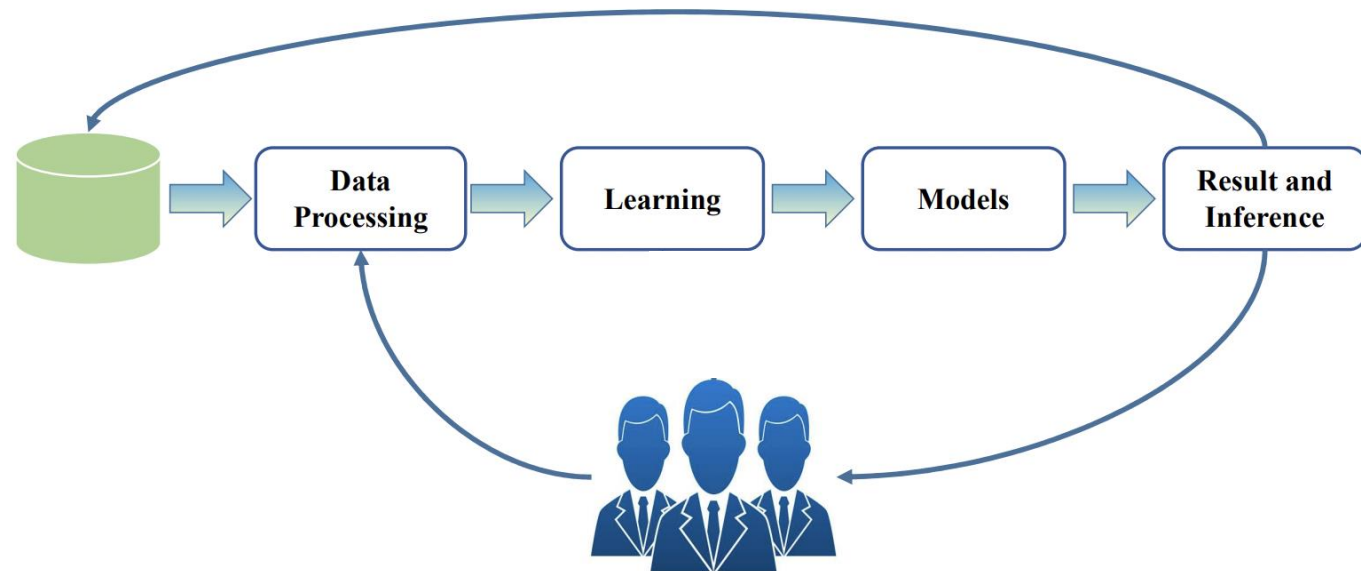




# Where can humans intervene?



# Data Processing



# Data pre-processing

- Data scientist spend about 80% of their time on data processing
- Challenge: orchestration of data pre-processing tasks is **difficult, unguaranteed to be optimal**

**Goal:** propose an adaptive data preparation approach to **learn from humans the optimal sequence of data preparation tasks.**

**Method:** Learn2Clean. Active reinforcement learning-based approach where humans are introduced to adapt/select the sequence of data pre-processing tasks.

**Application field:** Web data mining

Laure Berti-Équille, CIDR 2020

# Data pre-processing

- Challenge: identify incorrectly labelled training data

**Goal:** identify incorrect labels in influential corpora used to train state-of-the-art models for NER

**Method:** Semi supervised approach to flag potentially-incorrect labels in the corpus then manual review of these labels by humans in the loop

**Application field:** NLP, Name entity recognition (NER)

Cutler et al. 2020

## 2. Data annotation

- Challenge: annotation of **new collected data**

**Goal:** release the limitations of pre-labeling and upgrades the model with continuously collected data

**Method:** Deep reinforcement active learning to guide agents (models) to dynamically select new training samples annotated by humans

**Application field:** CNNs for pedestrian re-identification

Liu et al. 2019

## 2. Data annotation

- Challenge: **incorporate human intelligence** through data annotation

**Goal:** incorporate human intelligence to generate high-quality comics

**Method:** Data-driven generation of comics from digital illustration components (line drawings, irregularities, texture..) annotated by an artist

**Application field:** Comics generation

Zhang et al. 2021

# 3. Iterative labeling

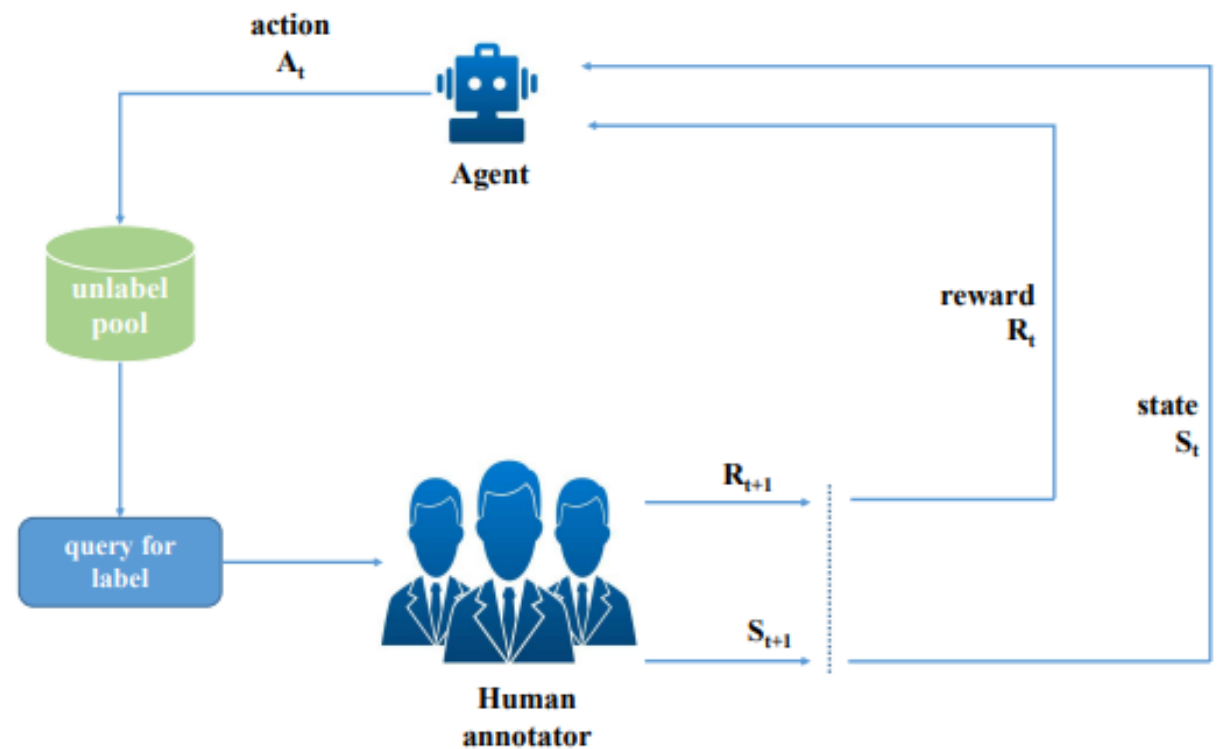
- Challenge : improve user experience from direct to dynamic data labelling

**Goal:** improve user experience in labelling new data

**Method:** partially automated labeling scheme for data annotation with deep learning and HITL

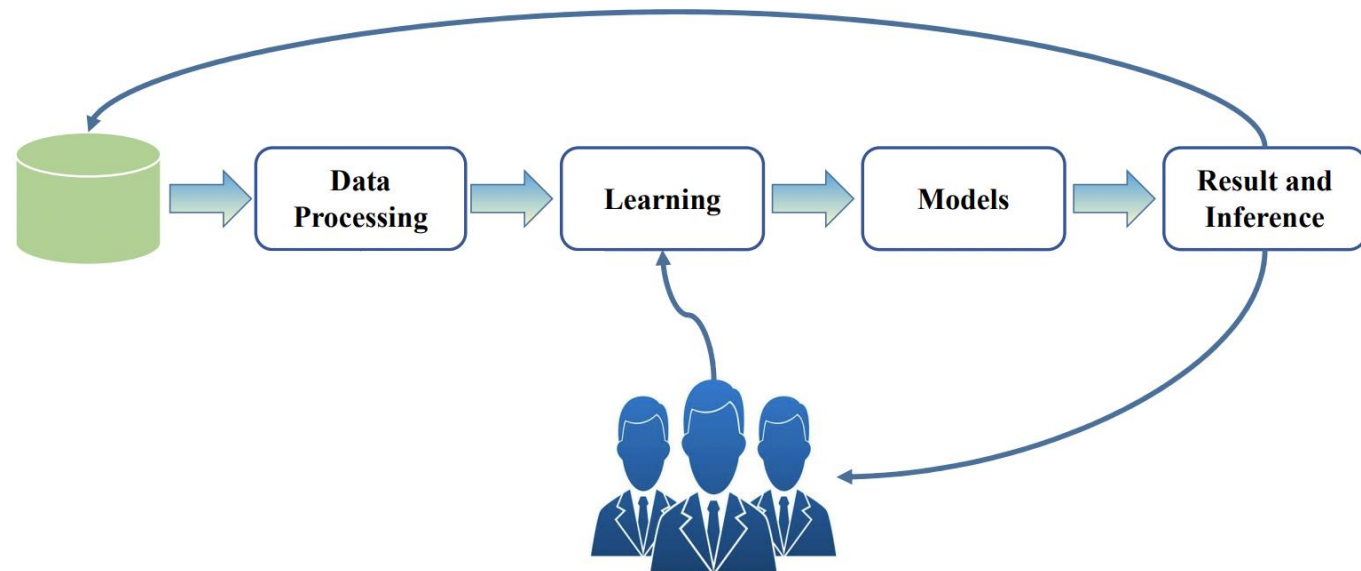
**Application field:** CV, visual recognition

Yu et al. 2015



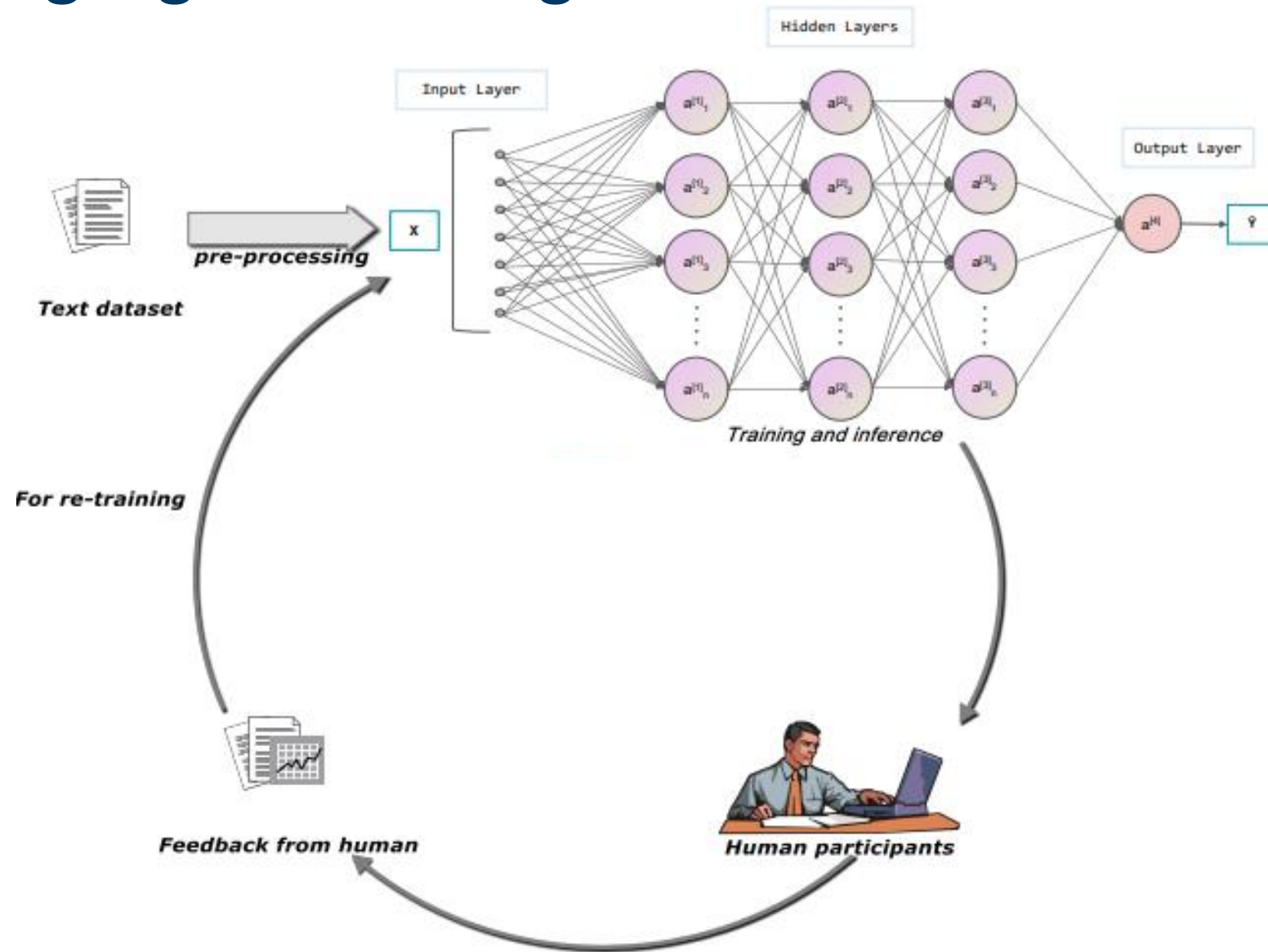
HITL framework based on reinforcement learning

# Model training and inference





# Natural Language Processing



# Natural Language Processing

Work	Task						Motivation		
	TC	SSP	TM	TS	QA	SA	Performance	Interpretability	Usability
<i>Zaidan et al. (2007) [77]</i>	✓						✓	✓	
<i>Zhang et al. (2016) [78]</i>	✓						✓	✓	
<i>Arous et al. (2021) [79]</i>	✓						✓	✓	
<i>Karmakharm et al. (2019) [76]</i>	✓						✓		✓
<i>He et al. (2016) [48]</i>		✓					✓		
<i>Su et al. (2018) [84]</i>		✓					✓	✓	✓
<i>Yao et al. (2019) [83]</i>		✓					✓	✓	✓
<i>Yao ZiYu et al. (2019) [85]</i>		✓					✓	✓	
<i>Hu et al. (2014) [87]</i>			✓				✓		
<i>Smith et al. (2018) [88]</i>			✓				✓	✓	✓
<i>Kim et al. (2019) [89]</i>			✓				✓		✓
<i>Ziegler et al. (2019) [90]</i>				✓			✓		
<i>Stiennon et al. (2020) [91]</i>				✓			✓		
<i>Hancock et al. (2019) [92]</i>					✓		✓		
<i>Wallace et al. (2019) [61]</i>					✓		✓		✓
<i>Liu et al. (2021) [93]</i>						✓		✓	

# Natural Language Processing

## Text classification

**Goal:** learn from additional feedback from journalists

**Method:** retrain a rumour classification system with an updated dataset with user-provided annotations

**Application field:** Rumour Classification

Karmakharm et al. 2019

**Goal:** improve interpretability

**Method:** incorporate constraints defined by humans into the loss function of the classifier

Zaidan et al. 2007

## Question-Answering

**Goal:** learn from continuous additional feedback from users

**Method:** self-feeding mechanism to generate new examples in the train set

**Application field:** Chatbots

Hancock et al. 2019

# Computer vision

Work	Task					Motivation		
	OD	IR	IS	IE	VOS	Performance	Interpretability	Usability
<i>Yao et al. (2012)</i> [114]	✓					✓		
<i>Roy et al. (2018)</i> [115]	✓					✓		✓
<i>Madono et al. (2020)</i> [116]	✓					✓		
<i>Roels et al. (2019)</i> [127]		✓				✓	✓	
<i>Weber et al. (2020)</i> [125]		✓				✓		
<i>Wang et al. (2020)</i> [133]			✓			✓		
<i>Ravanbakhsh et al. (2020)</i> [135]			✓			✓		
<i>Kapoor et al. (2014)</i> [136]				✓		✓		
<i>Murata et al. (2019)</i> [137]				✓		✓		
<i>Fischer et al. (2020)</i> [138]				✓		✓		
<i>Benard et al. (2017)</i> [139]					✓	✓		✓
<i>Caelles et al. (2018)</i> [140]					✓	✓		✓
<i>Oh et al. (2019)</i> [141]					✓	✓		✓

OD: Object Detection. IR: Image Restoration. IS: Image Segmentation. IE: Image Enhancement. VOS: Video Object Segmentation

# Computer Vision

## Object Detection

**Goal:** learn from human knowledge by correcting a few annotations

**Method:** interactive object detection tool to ask humans to correct a few annotations for fine-tuning

**Application field:** CNNs, pedestrian detection

Yao et al. 2012

## Image Restoration

**Goal:** learn to restore incomplete images with the help of human experts

**Method:** interactive ML system based on Deep Image Prior

**Application field:** CNNs

Weber et al. 2020

# Other fields

- Recommender systems

**Goal:** extend state-of-the-art recommenders to feedback on pairs of recommendations and explanations to improve future recommendations

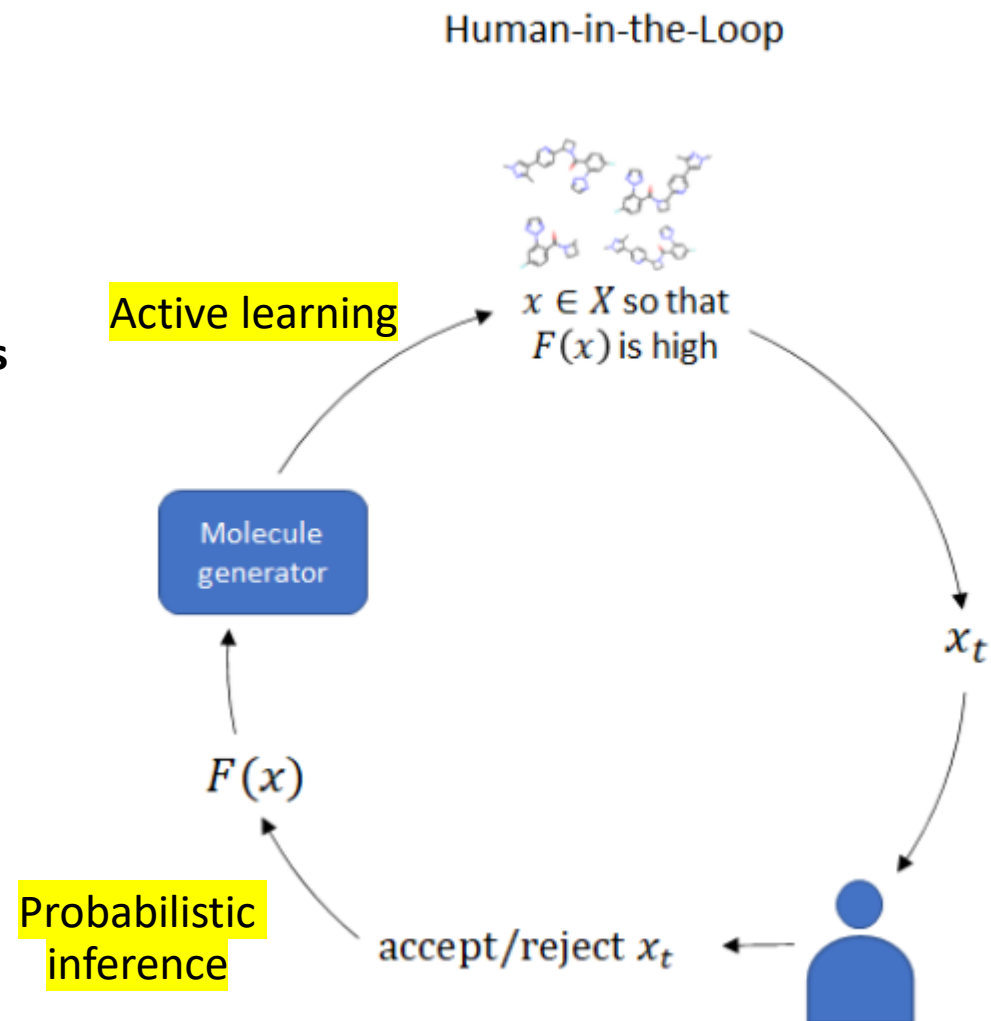
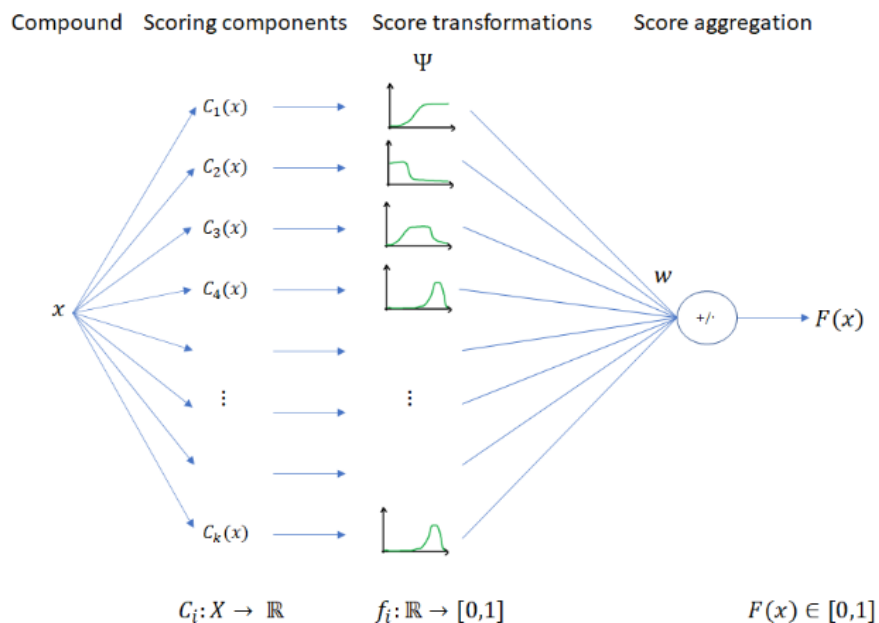
**Method:** ELIXIR incorporate user latent preference vectors

Ghazimatin et al. 2021



# Other fields

- Collaboration between AstraZeneca and Aalto University : **Human-in-the-Loop** for **molecular design**
- REINVENT: *de novo* drug design tool developed by AstraZeneca which trains an agent **to generate new molecules** with specific properties by using a **scoring function  $F(x)$** .



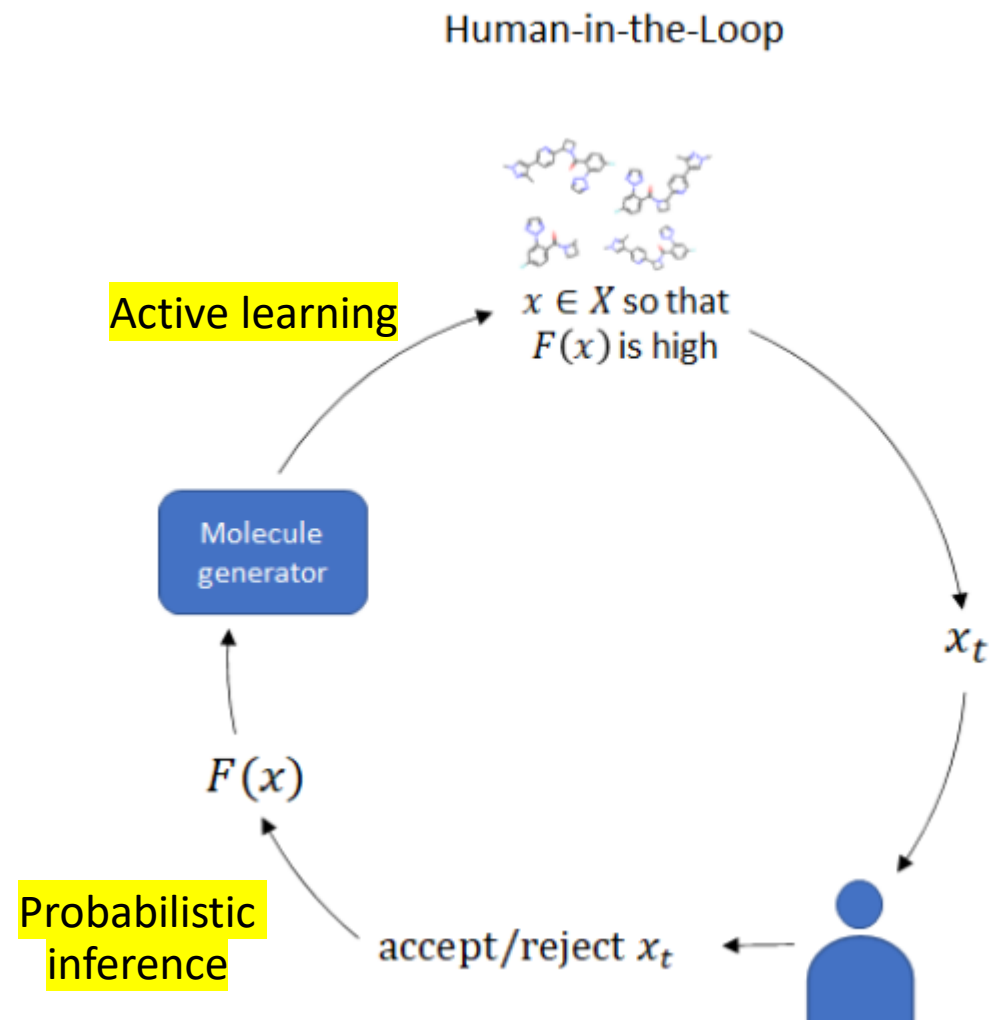
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**Human-in-the-Loop** for **molecular design**

**Goal 1** : adapt the parameters of the scoring function to generate molecules with high QED score

**Goal 2** : learn human knowledge as a separate scoring component to generate molecules with high activity on DRD2 receptor

Sundin et al.





# Pros and cons

- Enable to label new data points
  - Human feedback can be a valuable input
- 
- Data labeling and constant feedback are costly



Example where human input can be valuable

# Open questions and challenges

- How to analyse the quality of provided feedback?
- How to pick the most representative feedback?
- How to display what the model learned from this feedback?
- How to choose an appropriate human intervention time?
- Computational challenges

# Future directions

- Besides data annotation, how can the model learn from user experience?
- Explore other methods for selecting key samples
- No uniform standard for HITL benchmarks
- Hope of achieving a universal model through HITL fine-tuning

Thank you!

